

JURISPRUDENCE / EXPLANATORY NOTES for the Leiden University PhD Regulations 2023 (dated 14 September 2023)

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| Article in the PhD Regulations | | |
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| 1.q | External parties wishing to act as supervisors at Leiden University | <p>Since the protocol for PhD defences states that the official address at the ceremony must be given by a Leiden University professor or UHD (senior lecturer/associate professor) with <i>ius promovendi</i> (the right to supervise PhD candidates), a professor from outside Leiden University can only act as a supervisor at Leiden University if a Leiden University professor/UHD with <i>ius promovendi</i> is also appointed as a supervisor. In principle, professors who were appointed outside the Netherlands may also be appointed as a supervisor or second supervisor.</p> |
| 1.b | Professor's title outside the Netherlands | <p>A Leiden University UD (lecturer/assistant professor) who is a professor (or professor by special appointment) outside the Netherlands may, in the Leiden University dissertation for which that person is a co-supervisor, be listed as a co-supervisor using the Leiden University title, followed by the addition of 'professor at ...' in brackets.</p> |
| 2.3 | Joint degree | <p>It is permitted to include one title page, on which both institutions are stated; the logo of the other university may appear on that title page, and a supplement to the diploma may be issued.</p> |
| 4.2 | Admission | <p>In the case of (external) PhD candidates whose degree is assessed at bachelor's level, the Dean has the authority to admit the PhD candidates to the Graduate School or to the PhD track, or to refuse admission. If a PhD candidate has already completed the dissertation but has not yet completed the master's programme, it is not necessary to still obtain that master's diploma.</p> |
| 11 | Co-supervisors | <p>Co-supervisors do not necessarily have to be affiliated with a university. Unlike members of Doctorate Committees, they may be affiliated with Dutch or foreign industrial or commercial institutions for scientific research or education.</p> |
| 11.2 | Number of supervisors | <p>The maximum number of supervisors is two; however, upon request, three supervisors may also be appointed.</p> <p>Three supervisors are permitted in the following circumstances:</p> <ol style="list-style-type: none"> a. The co-supervisor has been appointed professor or the UHD has been granted <i>ius promovendi</i> during the course of the PhD track. b. If one of the supervisors has been an emeritus professor for a fairly long time. c. If one of the supervisors has since been appointed elsewhere. |

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| 11 | Number of members of supervision team | <p>A supervision team has a maximum of three members (two supervisors and one co-supervisor; or one supervisor and two co-supervisors). An exception can be made to this number in the following cases: if the PhD relates to a multidisciplinary study involving several institutes/faculties/universities for which a joint grant has been obtained in advance, which has been used for appointing the PhD candidate, and if the request to appoint multiple supervisors has been submitted in good time (i.e., before or at the beginning of the PhD track);</p> <p>the growing commitment to interdisciplinary research may mean that, in some specific cases, a larger team of supervisors and co-supervisors is permitted, specifically where the research and input of various experts would be beneficial to key strategic objectives. This is only possible if supervisors without <i>ius promovendi</i> are also involved on both sides. In order to afford non-professors the opportunity to gain experience as supervisors, the Doctorate Board has a strong preference for teams with no more than two professors.</p> |
| 14.1 | Retirement and <i>ius promovendi</i> | <p>After retirement, an emeritus professor retains <i>ius promovendi</i> for five years; this period may be extended upon request (requests should be submitted to the Doctorate Board via the Dean). UDs (lecturers/assistant professors) and UHDs with and without <i>ius promovendi</i> also retain the right, for five years after their retirement, to act as a supervisor in PhD tracks that began before their retirement date</p> |
| | | <p>For the first five years after retirement, an emeritus professor may act as a supervisor in PhD tracks that commenced before their retirement. An emeritus professor can also be involved in new PhD tracks after their retirement, but only as a co-supervisor and at the request of the supervisor and if no other suitable persons in that academic field are available.</p> |
| 15.2 | Propositions | <p>Article 15.2 reads: "The propositions must be concise, may not consist solely of a quotation and must always include an original contribution by the candidate, and must be such that they can be defended with scientific arguments." This rule naturally also applies for propositions that may have been generated with ChatGPT or another chatbot/AI text generator.</p> |
| 18 | Language | <p>All members of the Doctorate Committee and the Examining Committee must be sufficiently proficient in the language in which the dissertation is written so that they can read and evaluate the entire dissertation.</p> |
| 19 | Photograph | <p>The CV may include a photograph of the PhD candidate.</p> |
| 19.1 | Name | <p>The title page must bear the official name of the PhD candidate; however, the cover may bear a shortened/different version of the name.</p> |
| 23 | Doctorate Committee | <ul style="list-style-type: none"> • The Secretary must be a professor but may be from a different faculty of Leiden University. The Secretary is also a full member of the Doctorate Committee. A UHD with <i>ius promovendi</i> may also serve as the Secretary. • In exceptional cases, a person without a PhD may be a member of a Doctorate Committee, subject |

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| | | <p>to the Doctorate Board's approval. For Doctorate Committees in the Arts (ACPA), the Doctorate Board's approval does not need to be sought.</p> <ul style="list-style-type: none"> • A lecturer at a university of applied sciences (<i>hogeschool</i>) may be appointed as a member of a Doctorate Committee. • Generally speaking, members of bodies such as the Supreme Court, courts of appeal and the Council of State who have obtained a PhD may be appointed to Doctorate Committees. |
| 27 | Examining Committee | <ul style="list-style-type: none"> • Deans ensure that Examining Committees are of a sufficient size so that a PhD defence can go ahead even if • two members are unable to attend. <p>It is not desirable for the Doctorate Committee and the Examining Committee to have no overlap whatsoever, but neither is it desirable for the Examining Committee and the Doctorate Committee to be identical. For the purpose of the defence, the Doctorate Committee is preferably supplemented by a few external members</p> |
| 28 | Defence | <p>The formula to award the PhD may be spoken by the supervisor or a substitute or a 'pro-supervisor', who may be appointed by the Dean.</p> |
| Appendix | ius promovendi for UHDs | <p>Only those persons who are appointed as UHDs at Leiden University can be awarded the <i>ius promovendi</i>. UHDs with <i>ius promovendi</i> do not count as professors (in Doctorate Committees and Examining Committees). The <i>ius promovendi</i> of incoming UHDs is automatically transferred, but that of incoming UD's is not (because only UHDs are eligible for <i>ius promovendi</i> at Leiden University). Specific cases that may arise can be submitted to the Doctorate Board.</p> |
| | Foreword/acknowledgements | <p>Although it is permitted to state in the foreword/acknowledgements, in a restrained way, that faith has been important during the PhD track, it is not permitted to thank a deity/higher power.</p> |