

Most important changes in PhD Regulations 2021

(the article numbers are those in the new PhD Regulations)

(Co)supervisor(s):

- Article 7 now includes the stipulation that the Dean appoints not only the supervisor but also the second supervisor or co-supervisor.
- Article 7.3 now includes that emeritus professors may no longer be appointed as supervisor (and therefore can not accept new PhD candidates).
- An addition in Article 1.2 is that a professor at a foreign academic institution can only act as a supervisor at Leiden University if the Doctorate Board has given its consent.
- An addition in Article 9.1 is that the training and supervision plan is drawn up in consultation with not only the PhD candidate but also the second and/or co-supervisor(s).
- Article 10 now states that a PhD candidate must have at least two supervisors.
- Article 11 has been rewritten to emphasise the equality of the supervisor(s) and co-supervisor(s). An amendment has also been made in Article 12 for this purpose.

PhD Research at different universities:

- An addition in Article 2.4 is that joint supervision in the context of a strategic partnership programme with a foreign university can result in the PhD defence being held at a university other than Leiden University. A decision must be made at the start of each PhD track about where the PhD defence will take place.

GDPR:

- In connection with the introduction of the General Data Protection Regulation (GDPR), the obligation to submit a copy of the identity document has been replaced by the obligation to show an identity document.
- Article 18 has also been amended in connection with the introduction of the General Data Protection Regulation (GDPR): it is now optional to state the year and place of birth in the CV; this therefore also applies *mutatis mutandis* for the title page.

Admission to the Graduate School and the defence:

- In art. 3a the words 'from a Dutch university' have been deleted, in accordance with the WHW.
- Articles have been added about the start of the PhD track, in particular the candidate's registration in the Graduate School (Articles 6 and 8 have been added, and Article 7 has been amended accordingly). Another addition is that there are multiple ways in which a PhD candidate can find a supervisor (in Article 6.1).
- An addition in Article 3 is that the Graduate School can stipulate training requirements that PhD candidates must have met in order to be admitted to the PhD defence, also stating the conditions that must have been fulfilled.

Plagiarism check / academic integrity:

- Article 12 the requirement is added that the plagiarism check must in any case be done using the plagiarism checking software made available by the institution.
- An addition in Article 15.3 is that the PhD candidate must ensure that no copyrights of third parties are infringed.

Propositions:

- Article 14 has been amended, and now states that the propositions must be concise, may not consist solely of a quotation but must always contain an original contribution by the PhD candidate, and must be such that they can be defended with scientific arguments. A maximum

number of propositions has been set, namely twelve. It also states that the propositions are not an integral part of the dissertation and must be supplied separately. Another addition is that they are verified not only by the supervisor but also by the second and/or co-supervisor(s).

Composition Doctorate Committee and internal division of tasks:

- In Article 21, paragraph 3 has been amended: it is now the Secretary who sends the manuscript to the members of the Doctorate Committee.
- In art. 22.1 the words 'of the faculty' have been taken out: the Secretary need not be a member of the faculty PhD candidate and supervisor, but must be from Leiden University.
- Article 22.2 now stipulates that only academic staff affiliated to a Dutch or foreign *non-industrial and non-commercial* institute for academic research or education may be members of the Doctorate Committee. This restriction does not apply for the Opposition Committee.
- In Article 22.1, 'at least three' has been replaced by 'four or five' (in accordance with recommendations of the VSNU).
- In Article 22.3 'As a rule, the committee will include at least one male and at least one female member' has been replaced by 'The Committee includes at least one male and at least one female member'.
- In Article 22.4, the partner (etc.) of members of the Doctorate Committee is now also excluded from membership of the Doctorate Committee.
- In 23.2 it has been added that the chairperson is exempted from responding to the Secretary.
- An addition in Article 23.3 is that the assessments of the members of the Doctorate Committee are sent not only to the members of the Committee but also to the supervisor(s) and co-supervisor(s).
- Article 23.4 now states that the supervisor(s) and co-supervisor(s) do *not* attend the said meeting of the Doctorate Committee.

(Assessment) manuscript:

- In Article 9.3, the sentence 'If the manuscript is submitted in sections, the supervisor retains the right to deliver a separate assessment of the manuscript as a whole' has been added.
- In Article 12.4, the Dean's position has been strengthened by the addition of the sentence 'If there are doubts about the quality of the dissertation, the Dean can submit the manuscript to the Doctorate Board.'
- An addition in Article 15.2 is that the Dean can set further guidelines regarding the number of articles in the dissertation and requirements relating to authorship and the explanation thereof.
- In Article 23.2, the sentence 'The members of the Doctorate Committee may make suggestions for modifications to the dissertation' has been replaced by 'The assessment as 'allowable' is given without reservation. However, together with the assessment as 'allowable', the members of the Doctorate Committee may make suggestions for modifications to the dissertation.'
- An addition in Article 23.7 is that a meeting of the Committee will be called if there is more than one vote against.
- In Article 23, a paragraph has been added about what happens if the majority of the Committee consider that the manuscript cannot be defended.
- Article 23.8 now provides that the Doctorate Committee's decision is notified to the supervisor, instead of the PhD candidate.

Composition examining committee:

- Article 26.5 also states that the partner (etc.) of members of the Examining Committee is now excluded from membership of the Examining Committee.
- In Article 26 'As a rule, the committee will include at least one male and at least one female member' has been replaced by 'The Committee includes at least one male and at least one female member'.

Various changes:

- A Table of Contents has been added.
- More terms have been added to the list of Definitions.
- Where applicable, throughout the Regulations, the term ‘with *ius promovendi*’ has been added to ‘UHD’.
- In art. 4.2 ‘before the applicant is appointed’ has been replaced by ‘before an employment contract is concluded’.
- The former paragraph stating that the number of PhD candidates who may jointly write a dissertation may not exceed three, has been deleted.
- Articles 15.7 and 15.8 have been slightly elaborated.
- French and German have been added to Article 17.4 as permitted languages (into which quotations may be translated).
- In Article 18.3, ‘the Dean’ has been added to ‘the beadle’ in a few places.
- In Article 18.4, an error in the 2018 version has been corrected.
- In Article 18.5, the maximum number of words of the foreword or closing text has been increased.
- In Article 19, the provision relating to a commercial edition has been included again.
- In Article 20, a paragraph has been added about the public performance or presentation in the case of a defence in the Arts.
- The last paragraph of Article 23 has been tightened, and now also incorporates the fact that there is just one Academic Integrity Committee for both the University and the LUMC.
- Chapter 9 (‘Disputes procedure’) has been amended.
- The transitional arrangement has been amended (Article 43).
- Where relevant, the text has been amended in connection with the introduction of Converis, as a result of which it is now unnecessary to make copies and some forms are no longer used. Several forms have been retained as they are, such as the form with which the Dean gives the PhD candidate permission to arrange a date (‘form 6’). To prevent confusion, the forms appended to the PhD Regulations 2021 are no longer numbered, but instead are designated with letters.

Appendices:

- Appendix D, the Cum laude regulations, has been amended: a ‘cum laude’ procedure can now be initiated by both the supervisor and the members of the Doctorate Committee, must be submitted in writing, stating the reasons and it is the Secretary of the Doctorate Committee who communicates with the Dean.
- Appendix E, the regulations for *Ius Promovendi* for UHDs, has been amended: the policy applies also to Researchers 1 (1.), UHD’s who have been granted *ius promovendi* can thereafter also act as the supervisor for the PhD candidates that they have already been supervising as a co-supervisor (4.). If the UHDs appointment comes to an end due to retirement, the UHD will retain this *ius promovendi* for a period of five years. (7.) It has also been added that a UHD who has been granted *ius promovendi* at another Dutch university and is then appointed at Leiden University does not automatically have *ius promovendi* at Leiden University (8.) Furthermore, item 6. has been clarified and expanded.